

14 February 2020



NOTICE OF MEETING

Dear Councillor,

The next Audit Committee Meeting will be held in the Council Chamber at 2:30pm on Wednesday, 19 February 2020.

The attached Agenda is presented for your consideration.

A handwritten signature in black ink, appearing to read 'Vin Fordham Lamont', with a large, stylized flourish at the end.

Vin Fordham Lamont
CHIEF EXECUTIVE OFFICER

Please Note

If an Elected Member has a query regarding a report item or requires additional information in relation to an item, please contact the senior employee (noted in the report) prior to the meeting.

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**AUDIT COMMITTEE MEETING
COUNCIL CHAMBER – 2.30 PM**

1.0 DECLARATION OF OPENING

The Presiding Member Cr Merle Isbister welcomed Members, staff, visitors and opened the meeting at pm.

2.0 RECORD OF ATTENDANCE

2.1 Present

2.2 Apologies

2.3 Leave of Absence (previously approved)

3.0 PUBLIC QUESTION TIME

4.0 CONFIRMATION OF PREVIOUS MEETING MINUTES

4.1 Audit Committee Meeting 18 December 2019

AC20200201 COMMITTEE RECOMMENDATION

That the Minutes of the Ordinary Meeting of Council held on Wednesday 18 December 2019 be accepted as a true and correct record.

5.0 DISCLOSURE OF INTEREST

5.1 Declaration of Financial Interest and Proximity Interests

Elected Members must disclose the nature of their interest in matters to be discussed at the meeting (*Part 5 Division 6 of the Local Government Act 1995*).

Employees must disclose the nature of their interest in reports or advice when giving the report or advice to the meeting (*Sections 5.70 and 5.71 of the Local Government Act 1995*).

5.2 Declaration of Interest Affecting Impartiality

An Elected Member or an employee who has an interest in a matter to be discussed at the meeting must disclose that interest (*Local Government (Admin) Reg.11*).

6.0 OFFICER ITEMS

6.1 2019 COMPLIANCE AUDIT RETURN

| | |
|-------------------------------|---|
| File Code | ADM0057 |
| Author | Ian Walsh, Deputy Chief Executive Officer |
| Senior Employee | Nil |
| Disclosure of Interest | Nil |
| Attachments | 1. 2019 Compliance Audit Return |

SUMMARY

The 2019 Compliance Audit Return was presented to the Audit Committee for endorsement and/or amendment. The 2019 Compliance Audit Return is now presented for the Councils endorsement and/or amendment.

BACKGROUND

Council is required to complete and submit the attached Compliance Audit Return by the 31st March 2020. The return covers the twelve months to the 31st December 2019.

COMMENT

The 2019 Compliance Audit Return is attached to this report. The return demonstrates that there has been a strong level of compliance and there is confidence that there have not been any material departures with respect to the Shire meeting its compliance requirements.

CONSULTATION

Chief Executive Officer.

STATUTORY ENVIRONMENT

Local Government Act 1995 – section 7.13(i) – *audit of compliance*.

Local Government Audit Regulations 1996 – *compliance audit of statutory requirements*

STATUTORY ENVIRONMENT

Corporate Business Plan

Civic Leadership

Objective 4:

To be a professional, customer focussed organisation, which effectively engages (with) the community to determine strategic direction, responsibly manages the organisation's assets and financial resources, is a strong advocate for the community.

POLICY IMPLICATIONS

Nil

FINANCIAL IMPLICATIONS

Nil

VOTING REQUIREMENT

Simple Majority

AC20200202 COMMITTEE RECOMMENDATION

That the Audit Committee recommends that Council adopt the Compliance Audit Return as the official return of Council for the period 1 January 2019 to 31 December 2019.

7.0 CONFIDENTIAL REPORTS

Nil

8.0 NEW BUSINESS OF AN URGENT NATURE

9.0 CLOSURE OF MEETING

9.1 Date, Time and Place of the Next Meeting

9.2 Closure of the Meeting

The Presiding Person declared the meeting closed at pm.

ATTACHMENT 1

Item 6.1



Carnamah - Compliance Audit Return 2019

Certified Copy of Return

Please submit a signed copy to the Director General of the Department of Local Government, Sport and Cultural Industries together with a copy of section of relevant minutes.

| Commercial Enterprises by Local Governments | | | | | | |
|---|-----------------------------------|--|----------|----------|------------|--|
| No | Reference | Question | Response | Comments | Respondent | |
| 1 | s3.59(2)(a)(b)(c) F&G Reg 7,9 | Has the local government prepared a business plan for each major trading undertaking in 2019? | N/A | | Ian Walsh | |
| 2 | s3.59(2)(a)(b)(c) F&G Reg 7,10 | Has the local government prepared a business plan for each major land transaction that was not exempt in 2019? | N/A | | Ian Walsh | |
| 3 | s3.59(2)(a)(b)(c) F&G Reg 7,10 | Has the local government prepared a business plan before entering into each land transaction that was preparatory to entry into a major land transaction in 2019? | N/A | | Ian Walsh | |
| 4 | s3.59(4) | Has the local government complied with public notice and publishing requirements of each proposal to commence a major trading undertaking or enter into a major land transaction for 2019? | N/A | | Ian Walsh | |
| 5 | s3.59(5) | Did the Council, during 2019, resolve to proceed with each major land transaction or trading undertaking by absolute majority? | N/A | | Ian Walsh | |



| Delegation of Power / Duty | | | | | | |
|-----------------------------------|--------------------------------|--|-----------------|-----------------|-------------------|--|
| No | Reference | Question | Response | Comments | Respondent | |
| 1 | s5.16, 5.17, 5.18 | Were all delegations to committees resolved by absolute majority? | N/A | | Ian Walsh | |
| 2 | s5.16, 5.17, 5.18 | Were all delegations to committees in writing? | N/A | | Ian Walsh | |
| 3 | s5.16, 5.17, 5.18 | Were all delegations to committees within the limits specified in section 5.17? | N/A | | Ian Walsh | |
| 4 | s5.16, 5.17, 5.18 | Were all delegations to committees recorded in a register of delegations? | N/A | | Ian Walsh | |
| 5 | s5.18 | Has Council reviewed delegations to its committees in the 2018/2019 financial year? | N/A | | Ian Walsh | |
| 6 | s5.42(1),5.43 Admin Reg 18G | Did the powers and duties of the Council delegated to the CEO exclude those as listed in section 5.43 of the Act? | Yes | | Ian Walsh | |
| 7 | s5.42(1)(2) Admin Reg 18G | Were all delegations to the CEO resolved by an absolute majority? | Yes | | Ian Walsh | |
| 8 | s5.42(1)(2) Admin Reg 18G | Were all delegations to the CEO in writing? | Yes | | Ian Walsh | |
| 9 | s5.44(2) | Were all delegations by the CEO to any employee in writing? | Yes | | Ian Walsh | |
| 10 | s5.45(1)(b) | Were all decisions by the Council to amend or revoke a delegation made by absolute majority? | N/A | | Ian Walsh | |
| 11 | s5.46(1) | Has the CEO kept a register of all delegations made under the Act to him and to other employees? | Yes | | Ian Walsh | |
| 12 | s5.46(2) | Were all delegations made under Division 4 of Part 5 of the Act reviewed by the delegator at least once during the 2018/2019 financial year? | Yes | | Ian Walsh | |
| 13 | s5.46(3) Admin Reg 19 | Did all persons exercising a delegated power or duty under the Act keep, on all occasions, a written record as required? | Yes | | Ian Walsh | |

| Disclosure of Interest | | | | | | |
|-------------------------------|------------------|---|-----------------|-----------------|-------------------|--|
| No | Reference | Question | Response | Comments | Respondent | |
| 1 | s5.67 | If a member disclosed an interest, did he/she ensure that they did not remain present to participate in any discussion or decision-making procedure relating to the matter in which the interest was disclosed (not including participation approvals granted under s5.68)? | Yes | | Ian Walsh | |
| 2 | s5.68(2) | Were all decisions made under section 5.68(1), and the extent of participation allowed, recorded in the minutes of Council and Committee meetings? | Yes | | Ian Walsh | |



Department of
Local Government, Sport
and Cultural Industries

| No | Reference | Question | Response | Comments | Respondent |
|----|------------------------------|---|----------|----------|------------|
| 3 | s5.73 | Were disclosures under section 5.65 or 5.70 recorded in the minutes of the meeting at which the disclosure was made? | Yes | | Ian Walsh |
| 4 | s5.73 | Where the CEO had an interest relating to a gift under section 5.71A(1), was written notice given to the Council? | N/A | | Ian Walsh |
| 5 | s5.73 | Where the CEO had an interest relating to a gift in a matter in respect of a report another employee is providing advice on under section 5.71A (3), was the nature of interest disclosed when the advice or report was provided? | N/A | | Ian Walsh |
| 6 | s5.75(1) Admin Reg 22 Form 2 | Was a primary return lodged by all newly elected members within three months of their start day? | Yes | | Ian Walsh |
| 7 | s5.75(1) Admin Reg 22 Form 2 | Was a primary return lodged by all newly designated employees within three months of their start day? | Yes | | Ian Walsh |
| 8 | s5.76(1) Admin Reg 23 Form 3 | Was an annual return lodged by all continuing elected members by 31 August 2019? | Yes | | Ian Walsh |
| 9 | s5.76(1) Admin Reg 23 Form 3 | Was an annual return lodged by all designated employees by 31 August 2019? | Yes | | Ian Walsh |
| 10 | s5.77 | On receipt of a primary or annual return, did the CEO, (or the Mayor/ President in the case of the CEO's return) on all occasions, give written acknowledgment of having received the return? | Yes | | Ian Walsh |
| 11 | s5.88(1)(2) Admin Reg 28 | Did the CEO keep a register of financial interests which contained the returns lodged under section 5.75 and 5.76? | Yes | | Ian Walsh |
| 12 | s5.88(1)(2) Admin Reg 28 | Did the CEO keep a register of financial interests which contained a record of disclosures made under sections 5.65, 5.70 and 5.71, in the form prescribed in Administration Regulation 28? | Yes | | Ian Walsh |
| 13 | s5.89A Admin Reg 28A | Did the CEO keep a register of gifts which contained a record of disclosures made under section 5.71A, in the form prescribed in Administration Regulation 28A? | Yes | | Ian Walsh |
| 14 | s5.88 (3) | Has the CEO removed all returns from the register when a person ceased to be a person required to lodge a return under section 5.75 or 5.76? | Yes | | Ian Walsh |
| 15 | s5.88(4) | Have all returns lodged under section 5.75 or 5.76 and removed from the register, been kept for a period of at least five years, after the person who lodged the return ceased to be a council member or designated employee? | Yes | | Ian Walsh |



| No | Reference | Question | Response | Comments | Respondent |
|----|--|---|----------|----------|------------|
| 16 | s5.103 Admin Reg 34C & Rules of Conduct Reg 11 | Where an elected member or an employee disclosed an interest in a matter discussed at a Council or committee meeting where there was a reasonable belief that the impartiality of the person having the interest would be adversely affected, was it recorded in the minutes? | N/A | | Ian Walsh |
| 17 | s5.70(2) | Where an employee had an interest in any matter in respect of which the employee provided advice or a report directly to the Council or a Committee, did that person disclose the nature of that interest when giving the advice or report? | Yes | | Ian Walsh |
| 18 | s5.70(3) | Where an employee disclosed an interest under s5.70(2), did that person also disclose the extent of that interest when required to do so by the Council or a Committee? | Yes | | Ian Walsh |
| 19 | s5.103(3) Admin Reg 34B | Has the CEO kept a register of all notifiable gifts received by Council members and employees? | Yes | | Ian Walsh |

Disposal of Property

| No | Reference | Question | Response | Comments | Respondent |
|----|-----------|---|----------|----------|------------|
| 1 | s3.58(3) | Was local public notice given prior to disposal for any property not disposed of by public auction or tender (except where excluded by Section 3.58(5))? | N/A | | Ian Walsh |
| 2 | s3.58(4) | Where the local government disposed of property under section 3.58(3), did it provide details, as prescribed by section 3.58(4), in the required local public notice for each disposal of property? | N/A | | Ian Walsh |

Elections

| No | Reference | Question | Response | Comments | Respondent |
|----|------------------------|--|----------|----------|------------|
| 1 | Elect Reg 30G (1) (2) | Did the CEO establish and maintain an electoral gift register and ensure that all 'disclosure of gifts' forms completed by candidates and received by the CEO were placed on the electoral gift register at the time of receipt by the CEO and in a manner that clearly identifies and distinguishes the candidates? | Yes | | Ian Walsh |
| 2 | Elect Reg 30G(3) & (4) | Did the CEO remove any 'disclosure of gifts' forms relating to an unsuccessful candidate or a successful candidate that completed the term of office from the electoral gift register, and retain those forms separately for a period of at least 2 years? | Yes | | Ian Walsh |

Finance



**Department of
Local Government, Sport
and Cultural Industries**

| No | Reference | Question | Response | Comments | Respondent |
|----|-----------------|---|----------|-----------------|------------|
| 1 | s7.1A | Has the local government established an audit committee and appointed members by absolute majority in accordance with section 7.1A of the Act? | Yes | | Ian Walsh |
| 2 | s7.1B | Where a local government determined to delegate to its audit committee any powers or duties under Part 7 of the Act, did it do so by absolute majority? | N/A | | Ian Walsh |
| 3 | s7.3(1) | Was the person(s) appointed by the local government under s7.3(1) to be its auditor, a registered company auditor? | N/A | OAG Appointment | Ian Walsh |
| 4 | s7.3(1), 7.6(3) | Was the person or persons appointed by the local government to be its auditor, appointed by an absolute majority decision of Council? | N/A | OAG Appointment | Ian Walsh |
| 5 | Audit Reg 10 | Was the Auditor's report(s) for the financial year(s) ended 30 June received by the local government within 30 days of completion of the audit? | Yes | | Ian Walsh |
| 6 | s7.9(1) | Was the Auditor's report for the financial year ended 30 June 2019 received by the local government by 31 December 2019? | Yes | | Ian Walsh |
| 7 | S7.12A(3) | Where the local government determined that matters raised in the auditor's report prepared under s7.9 (1) of the Act required action to be taken, did the local government, ensure that appropriate action was undertaken in respect of those matters? | Yes | | Ian Walsh |
| 8 | S7.12A (4) | Where the auditor identified matters as significant in the auditor's report (prepared under s7.9(1) of the Act), did the local government prepare a report stating what action had been taken or it intended to take with respect to each of the matters and give a copy to the Minister within 3 months after receipt of the audit report? | Yes | | Ian Walsh |
| 9 | S7.12A (4) | Within 14 days after the local government gave a report to the Minister under s7.12A(4) (b), did the CEO publish a copy of the report on the local government's official website? | Yes | | Ian Walsh |
| 10 | Audit Reg 7 | Did the agreement between the local government and its auditor include the objectives of the audit? | N/A | OAG Appointment | Ian Walsh |
| 11 | Audit Reg 7 | Did the agreement between the local government and its auditor include the scope of the audit? | N/A | OAG Appointment | Ian Walsh |
| 12 | Audit Reg 7 | Did the agreement between the local government and its auditor include a plan for the audit? | N/A | OAG Appointment | Ian Walsh |



| No | Reference | Question | Response | Comments | Respondent |
|----|-------------|---|----------|-----------------|------------|
| 13 | Audit Reg 7 | Did the agreement between the local government and its auditor include details of the remuneration and expenses to be paid to the auditor? | N/A | OAG Appointment | Ian Walsh |
| 14 | Audit Reg 7 | Did the agreement between the local government and its auditor include the method to be used by the local government to communicate with, and supply information to, the auditor? | N/A | OAG Appointment | Ian Walsh |

Integrated Planning and Reporting

| No | Reference | Question | Response | Comments | Respondent |
|----|--------------------------|--|----------|----------|------------|
| 1 | s5.56 Admin Reg 19DA (6) | Has the local government adopted a Corporate Business Plan. If Yes, please provide adoption date of the most recent Plan in Comments? | Yes | 15/2/17 | Ian Walsh |
| 2 | s5.56 Admin Reg 19DA (4) | Has the local government reviewed the Corporate Business Plan in the 2018-2019 Financial Year. If Yes, please provide date of Council meeting the review was adopted at? | Yes | 17/7/19 | Ian Walsh |
| 3 | s5.56 Admin Reg 19C | Has the local government adopted a Strategic Community Plan. If Yes, please provide adoption date of the most recent Plan in Comments? | Yes | 15/2/17 | Ian Walsh |
| 4 | s5.56 Admin Reg 19C (4) | Has the local government reviewed the current Strategic Community Plan. If Yes, please provide date of most recent review by Council in Comments. Note: If the current Strategic Community Plan was adopted after 1/1/2016, please respond N/A and provide adoption date in Comments? | N/A | | Ian Walsh |
| 5 | S5.56 Admin Reg 19DA (3) | Has the local government developed an Asset Management Plan(s) that covers all asset classes. If Yes, please provide the date of the most recent Plan adopted by Council in Comments? | Yes | 15/2/17 | Ian Walsh |
| 6 | S5.56 Admin Reg 19DA (3) | Has the local government developed a Long Term Financial Plan. If Yes, please provide the adoption date of the most recent Plan in Comments? | Yes | 15/12/17 | Ian Walsh |
| 7 | S5.56 Admin Reg 19DA (3) | Has the local government developed a Workforce Plan. If Yes, please provide adoption date of the most recent Plan in comments? | Yes | 15/12/17 | Ian Walsh |



| Local Government Employees | | | | | |
|-----------------------------------|----------------------------------|---|-----------------|-----------------|-------------------|
| No | Reference | Question | Response | Comments | Respondent |
| 1 | Admin Reg 18C | Did the local government approve the process to be used for the selection and appointment of the CEO before the position of CEO was advertised? | Yes | | Ian Walsh |
| 2 | s5.36(4) s5.37(3), Admin Reg 18A | Were all vacancies for the position of CEO and other designated senior employees advertised and did the advertising comply with s.5.36(4), 5.37(3) and Admin Reg 18A? | Yes | | Ian Walsh |
| 3 | Admin Reg 18F | Was the remuneration and other benefits paid to a CEO on appointment the same remuneration and benefits advertised for the position of CEO under section 5.36(4)? | Yes | | Ian Walsh |
| 4 | Admin Regs 18E | Did the local government ensure checks were carried out to confirm that the information in an application for employment was true (applicable to CEO only)? | Yes | | Ian Walsh |
| 5 | s5.37(2) | Did the CEO inform Council of each proposal to employ or dismiss a designated senior employee? | Yes | | Ian Walsh |

| Official Conduct | | | | | |
|-------------------------|------------------|--|-----------------|-----------------|-------------------|
| No | Reference | Question | Response | Comments | Respondent |
| 1 | s5.120 | Where the CEO is not the complaints officer, has the local government designated a senior employee, as defined under s5.37, to be its complaints officer? | N/A | | Ian Walsh |
| 2 | s5.121(1) | Has the complaints officer for the local government maintained a register of complaints which records all complaints that result in action under s5.110(6)(b) or (c)? | N/A | Nil received | Ian Walsh |
| 3 | s5.121(2)(a) | Does the complaints register maintained by the complaints officer include provision for recording of the name of the council member about whom the complaint is made? | N/A | | Ian Walsh |
| 4 | s5.121(2)(b) | Does the complaints register maintained by the complaints officer include provision for recording the name of the person who makes the complaint? | N/A | | Ian Walsh |
| 5 | s5.121(2)(c) | Does the complaints register maintained by the complaints officer include provision for recording a description of the minor breach that the standards panel finds has occurred? | N/A | | Ian Walsh |
| 6 | s5.121(2)(d) | Does the complaints register maintained by the complaints officer include the provision to record details of the action taken under s5.110(6)(b) or (c)? | N/A | | Ian Walsh |



| Optional Questions | | | | | |
|---------------------------|-----------------------------------|--|-----------------|-----------------|-------------------|
| No | Reference | Question | Response | Comments | Respondent |
| 1 | Financial Management Reg 5 (2)(c) | Did the CEO review the appropriateness and effectiveness of the local government's financial management systems and procedures in accordance with Local Government (Financial Management) Regulation 5 (2)(c) within the 3 years prior to 31 December 2019? If yes, please provide date of Council resolution in comments? | Yes | | Ian Walsh |
| 2 | Audit Reg 17 | Did the CEO review the appropriateness and effectiveness of the local government's systems and procedures in relation to risk management, internal control and legislative compliance in accordance with Local Government (Audit) Regulation 17 within the 3 years prior to 31 December 2019? If yes, please provide date of Council resolution in comments? | Yes | 18/12/2019 | Ian Walsh |
| 3 | Financial Management Reg 5A. | Did the local government provide AASB 124 related party information in its annual report(s) tabled at an electors meeting(s) during calendar year 2019? | Yes | | Ian Walsh |
| 4 | S6.4(3) | Did the local government submit to its auditor by 30 September 2019 the balanced accounts and annual financial report for the year ending 30 June 2019? | Yes | | Ian Walsh |

| Tenders for Providing Goods and Services | | | | | |
|---|---------------------|--|-----------------|-----------------|-------------------|
| No | Reference | Question | Response | Comments | Respondent |
| 1 | s3.57 F&G Reg 11 | Did the local government invite tenders on all occasions (before entering into contracts for the supply of goods or services) where the consideration under the contract was, or was expected to be, worth more than the consideration stated in Regulation 11(1) of the Local Government (Functions & General) Regulations (Subject to Functions and General Regulation 11(2))? | Yes | | Ian Walsh |
| 2 | F&G Reg 12 | Did the local government comply with F&G Reg 12 when deciding to enter into multiple contracts rather than inviting tenders for a single contract? | N/A | | Ian Walsh |
| 3 | F&G Reg 14(1) & (3) | Did the local government invite tenders via Statewide public notice? | Yes | | Ian Walsh |
| 4 | F&G Reg 14 & 15 | Did the local government's advertising and tender documentation comply with F&G Regs 14, 15 & 16? | Yes | | Ian Walsh |



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| No | Reference | Question | Response | Comments | Respondent |
|----|------------------------|---|----------|----------|------------|
| 5 | F&G Reg 14(5) | If the local government sought to vary the information supplied to tenderers, was every reasonable step taken to give each person who sought copies of the tender documents or each acceptable tenderer, notice of the variation? | Yes | | Ian Walsh |
| 6 | F&G Reg 16 | Did the local government's procedure for receiving and opening tenders comply with the requirements of F&G Reg 16? | Yes | | Ian Walsh |
| 7 | F&G Reg 18(1) | Did the local government reject the tenders that were not submitted at the place, and within the time specified in the invitation to tender? | Yes | | Ian Walsh |
| 8 | F&G Reg 18 (4) | In relation to the tenders that were not rejected, did the local government assess which tender to accept and which tender was most advantageous to the local government to accept, by means of written evaluation criteria? | Yes | | Ian Walsh |
| 9 | F&G Reg 17 | Did the information recorded in the local government's tender register comply with the requirements of F&G Reg 17 and did the CEO make the tenders register available for public inspection? | Yes | | Ian Walsh |
| 10 | F&G Reg 19 | Did the CEO give each tenderer written notice advising particulars of the successful tender or advising that no tender was accepted? | Yes | | Ian Walsh |
| 11 | F&G Reg 21 & 22 | Did the local governments advertising and expression of interest documentation comply with the requirements of F&G Regs 21 and 22? | N/A | | Ian Walsh |
| 12 | F&G Reg 23(1) | Did the local government reject the expressions of interest that were not submitted at the place and within the time specified in the notice? | N/A | | Ian Walsh |
| 13 | F&G Reg 23(4) | After the local government considered expressions of interest, did the CEO list each person considered capable of satisfactorily supplying goods or services? | N/A | | Ian Walsh |
| 14 | F&G Reg 24 | Did the CEO give each person who submitted an expression of interest, a notice in writing in accordance with Functions & General Regulation 24? | N/A | | Ian Walsh |
| 15 | F&G Reg 24AC (1) & (2) | Has the local government established a policy on procurement of goods and services from pre-qualified suppliers in accordance with the regulations? | No | | Ian Walsh |
| 16 | F&G Reg 24AD(2) | Did the local government invite applicants for a panel of pre-qualified suppliers via Statewide public notice? | N/A | | Ian Walsh |
| 17 | F&G Reg 24AD(4) & 24AE | Did the local government's advertising and panel documentation comply with F&G Regs 24AD(4) & 24AE? | N/A | | Ian Walsh |



Department of
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| No | Reference | Question | Response | Comments | Respondent |
|----|-----------------|--|----------|----------|------------|
| 18 | F&G Reg 24AF | Did the local government's procedure for receiving and opening applications to join a panel of pre-qualified suppliers comply with the requirements of F&G Reg 16 as if the reference in that regulation to a tender were a reference to a panel application? | N/A | | Ian Walsh |
| 19 | F&G Reg 24AD(6) | If the local government sought to vary the information supplied to the panel, was every reasonable step taken to give each person who sought detailed information about the proposed panel or each person who submitted an application, given notice of the variation? | N/A | | Ian Walsh |
| 20 | F&G Reg 24AH(1) | Did the local government reject the applications to join a panel of pre-qualified suppliers that were not submitted at the place, and within the time specified in the invitation for applications? | N/A | | Ian Walsh |
| 21 | F&G Reg 24AH(3) | In relation to the applications that were not rejected, did the local government assess which application (s) to accept and which application(s) were most advantageous to the local government to accept, by means of written evaluation criteria? | N/A | | Ian Walsh |
| 22 | F&G Reg 24AG | Did the information recorded in the local government's tender register about panels of pre-qualified suppliers, comply with the requirements of F&G Reg 24AG? | N/A | | Ian Walsh |
| 23 | F&G Reg 24AI | Did the CEO send each person who submitted an application, written notice advising if the person's application was accepted and they are to be part of a panel of pre-qualified suppliers, or, that the application was not accepted? | N/A | | Ian Walsh |
| 24 | F&G Reg 24E | Where the local government gave a regional price preference, did the local government comply with the requirements of F&G Reg 24E including the preparation of a regional price preference policy? | N/A | | Ian Walsh |
| 25 | F&G Reg 24F | Did the local government comply with the requirements of F&G Reg 24F in relation to an adopted regional price preference policy? | N/A | | Ian Walsh |
| 26 | F&G Reg 11A | Does the local government have a current purchasing policy that comply with F&G Reg 11A(3) in relation to contracts for other persons to supply goods or services where the consideration under the contract is, or is expected to be, \$150,000 or less? | No | | Ian Walsh |

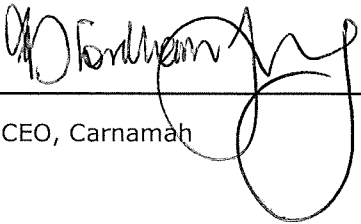


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| No | Reference | Question | Response | Comments | Respondent |
|----|-------------|---|----------|--|------------|
| 27 | F&G Reg 11A | Did the local government comply with its current purchasing policy in relation to the supply of goods or services where the consideration under the contract is, or is expected to be \$150,000 or less or worth \$150,000 or less? | Yes | In the main yes however there were a couple of occasions where purchase orders were not issued | Ian Walsh |

I certify this Compliance Audit return has been adopted by Council at its meeting on _____

Signed Mayor / President, Carnamah



Signed CEO, Carnamah